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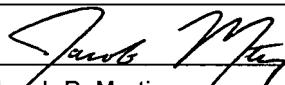
Total Number of Pages in This Submission 14

Application Number	10/086,769-Conf. #8954
Filing Date	March 1, 2002
First Named Inventor	Joseph M. Kochansky
Art Unit	3693
Examiner Name	J. Patel
Attorney Docket Number	58985(49357)

ENCLOSURES (Check all that apply)

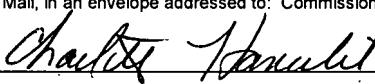
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard
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<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	EDWARDS ANGELL PALMER & DODGE LLP		
Signature			
Printed name	Jacob P. Martinez		
Date	September 12, 2007	Reg. No.	57,924

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 12, 2007

Signature:  (Charlotte Hanulik)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joseph M. KOCHANSKY

Art Unit: 3693

Application No.: 10/086,769

Examiner: Patel, Jagdish

Filed: March 1, 2002

Confirmation No.: 8954

For: INVESTMENT PORTFOLIO
COMPLIANCE SYSTEM

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE UNDER 37 C.F.R. §1.111

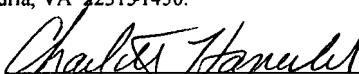
Dear Sir:

Applicant submits this document in response to the Office Action mailed July 9, 2007.

Amendments to the Claims begin on page 2 of this paper**Remarks** begin on page 10 of this paper.**CERTIFICATE OF MAILING**

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